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| 5 2001 & | | • | 7 1 9 7001 | | | |
|---|---|---|---|-----------------|---|--|
| | | | U.S. Patent and | Approved | PTO/SB/21 (08-00) d for use through 10/31/2002. OMB 0651-0031 k Office: U.S. DEPARTMENT OF COMMERCE a unless it displays a valid OMB control number. | |
| | | · | Application Num | | 09/742,579 | |
| TRANSMITTAL | | | Filing Date First Named Inventor | | December 21, 2000 | |
| FORM | | Ozluturk et al. | | | | |
| (to be used for all correspondence after initial filing) | | | Group Art Unit | | 2631 | |
| | | | Examiner Name | | Not Yet Known | |
| Total Number of I | Pages in This Subm | ission 15 | Attorney Docket N | lumber | I-2-91.10US | |
| | | ENCL | OSURES (d | check al | ll that apply) | |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declar Extension of Time Recompany Express Abandonment Information Disclosur Certified Copy of Prior Document(s) Response to Missing Incomplete Applicatio Response to Munder 37 CFR | quest nt Request e Statement rity Parts/ n lissing Parts | Drawing Licensir Petition Petition Provisio Change Address Termina Reques | to Convert to a small Application of Attorney, Revocation of Correspondence | | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Second Preliminary Amendment, Request for Corrected Filing Receipt and Marked-up Copy | |
| | SIGNATU | RE OF APPLI | CANT, ATTORNE | Y, OR A | | |
| or | FRANK A. MAZZEO, ESQUIRE Reg. No. 46,259 Volpe and Koenig, P.C. | | | | | |
| Date October 12, 2001 | | | | | | |
| I hereby certify that this correspondence is addressed to: Commissioner for Patents, date: | s being deposited with the Office of Initial Patent Exa | United States Postal S | ATE OF MAILING Service with sufficient postage arvice Center, Washington, D | e as first clas | ss mail in an envelope this October 12, 2001 | |
| Typed or printed name | FRANK A | . MAZZEO | , ESQUIRE | Γ _ | T 0.4-1 40 0004 | |
| Signature | (han | 1 /V/ans | 160 | Date | October 12, 2001 | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



OCT 1 9 2001

Volpe and Koenig Revision of PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

| Сотр | Complete if Known | | | | |
|----------------------|-------------------|--|--|--|--|
| Application Number | 09/742,579 | | | | |
| Filing Date | December 21, 2001 | | | | |
| First Named Inventor | Ozluturk et al. | | | | |
| Examiner Name | Not Yet Known | | | | |
| Group Art Unit | 2631 | | | | |
| Attorney Docket No. | I-2-91.10US | | | | |

| METHOD OF PAYMENT | | | FEE CALCULATION (continued) | | | | | | |
|---|-----------------|---------------------|------------------------------|--------|--------------|--|----------|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any everywheats to: | | | 3. ADDITIONAL FEES | | | | | | |
| Deposit Deposit | | | Large Small Entity Entity | | | | | | |
| Account Number 09-0435 | ļ | Fee | | Fee | Entit Fee | ry Fee Description | Fee Paid | | |
| Deposit | | Code | , | Cod | • • | · | | | |
| Account InterDigital Comm. | Corp. | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | | |
| Charge any Deficiencies or Credit any Overpay in the Total Fees Associated With This Commu | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| Applicant claims small entity status. | | 139 | 130 | 139 | 130 | Non-English specification | | | |
| See 37 CFR 1.27 | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | | |
| 2. Payment Enclosed: Check Credit card Money Order | Other | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | | |
| FEE CALCULATION | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 1. BASIC FILING FEE | | 115 | 110 | 215 | 55 | Extension for reply within first month | | | |
| Large Entity Small Entity | | 116 | 400 | 216 | 200 | Extension for reply within second month | | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | Fee Paid | 117 | 920 | 217 | 460 | Extension for reply within third month | | | |
| 101 740 201 370 Utility filing fee | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | | |
| 106 330 206 165 Design filing fee | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | | |
| 107 510 207 255 Plant filing fee | | 119 | 320 | 219 | 160 | Notice of Appeal | | | |
| 108 740 208 370 Reissue filing fee | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | |
| 114 160 214 80 Provisional filing fee | | 121 | 280 | 221 | 140 | Request for oral hearing | | | |
| auptota, 43 [| 6 \ 0 | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | | |
| SUBTOTAL (1) | \$) 0 | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | | |
| 2. EXTRA CLAIM FEES | e from | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | | |
| Ext <u>ra Claim</u> s | elow Fee Paid | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | | |
| Independent - 20 A | 18 = 0 | 143 | 460 | 243 | 230 | Design issue fee | | | |
| Claims | 30 = | 144 | 620 | 244 | 310 | Plant issue fee | | | |
| Multiple Dependent | 0=0 | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | | |
| Laura 5 -116. 6 . 11 5 . 11 | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of | 20 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| 102 84 202 42 Independent claims 104 280 204 140 Multiple dependent | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 104 280 204 140 Multiple dependent 109 84 209 42 ** Reissue independent over original patei | lent claims | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 110 18 210 9 ** Reissue claims in | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | |
| and over original | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) 0 | | Other fee (specify) | | | | | | | |
| **or number previously paid, if greater; For Reis | sues, see above | *Red | uced b | y Basi | ic Filing | Fee Paid SUBTOTAL (3) (\$) | 0 | | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|-----------------------------|------------------------|-----------|--------------|--|--|
| Name (Print/Type) | I FRAINS A WAZZEU FOULUSE I | egistration No. 46,259 | Telephone | 215-568-6400 | | |
| Signature | had Wlanko | | Date | 10/12/01 | | |

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Ozluturk et al.

Application No.:

09/742,579

Filed:

December 21, 2000

For:

APPARATUS FOR INITIAL POWER

CONTROL FOR SPREAD-SPECTRUM

COMMUNICATIONS

Group:

2631

Examiner:

Not Yet Known

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to the initial Office Action, Applicants respectfully request that the application be amended as follows:

IN THE SPECIFICATION

On page 1, please replace paragraph [0002] with the following:

--[0002]

CROSS-REFERENCE TO RELATED APPLICATIONS

[0002a] This application is a continuation-in-part to U.S. Patent Application No.

08/956,740 filed on October 23, 1997, which issued on April 10, 2001 as U.S. Patent No.

6, 215, 778; which is a continuation of U.S. Patent Application No. 08/669,775 filed on June

27, 1996, which issued on August 25, 1998 as U.S. Patent No. 5,799,010; which claims

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Date: October 12, 2001